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Express Mailing Label No. EV 629 666 825 US

PATENT APPLICATION  
Docket: 15431.4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yufen Zhao et al.

Serial No.: 10/518,940

Filed: December 16, 2004

Confirmation No.: 3531

For: SYNTHESIS METHOD OF  
ALANYLGLUTAMINE (AMENDED)

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Sir:

In response to the Notification of Missing Requirements mailed May 26, 2005, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- A Combined Declaration and Power of Attorney executed by the inventors.
- An Assignment conveying the invention to \_\_\_\_\_, including a Form PTO-1595 recordation cover sheet.
- A Declaration Claiming Small Entity Status Pursuant to 37 C.F.R. § 1.9 and § 1.27.
- The present application claims entitlement to Small Entity Status under 37 CFR §§ 1.9 and 1.27

A copy of the Notification of Missing Requirements.

A Certificate of Express Mail under 37 C.F.R. § 1.10.

To render the Response timely filed, enclosed are the following:

Petition for a \_\_\_\_-Month Extension of Time; and

Check No. \_\_\_\_ in the amount of \$\_\_\_\_ to cover the fee for the extension of time.

The filing fee has been calculated as shown below.

			SMALL ENTITY		LARGE ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	Fee	RATE	Fee
BASIC FEE				\$370		\$740
TOT. CLAIMS	-20=		X 9=		X 18=	
IND. CLAIMS	-3=		X 42		X 84=	
MULTIPLE DEPENDENT CLAIM			+140=		+280=	
			<b>TOTAL</b>		<b>TOTAL</b>	

Form PTO-2038 submitting Credit Card Payment in the amount of \$65.00 is enclosed to cover the late surcharge fee pursuant to 37 C.F.R. § 1.16(e).

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 23-3178.

Any additional filing fees required under 37 C.F.R. § 1.16.

Any patent application processing fees under 37 C.F.R. § 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.

Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Any patent application processing fees under 37 C.F.R. § 1.17.

The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

June 8, 2005  
Page 3

X A duplicate copy of this letter is enclosed.

Please address all future correspondence in connection with this case to the attention of the undersigned.

DATED this 8<sup>th</sup> day of June 2005.

Respectfully submitted,



DANA L. TANGREN  
Attorney for Applicant  
Registration No. 37,246  
Customer No. 022913  
Telephone No. 801.533.9800

DLT:dfw  
DFW0000014205V001

JC03 Rec PCT/PTO 08 JUN 2005

10/518940

PATENT APPLICATION

Docket: 15431.4

Express Mailing Label No. EV 629 666 825 US

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Yufen Zhao et al.

Serial No.: 10/518,940

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For: SYNTHESIS METHOD OF  
ALANYLGLUTAMINE (AMENDED)

CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. § 1.10

I hereby certify that the following documents are being deposited with the United States Postal Service as Express mail, postage prepaid, in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 8<sup>th</sup> day of June 2005:

- Response to Notification of Missing Requirements (3 pgs.) (in duplicate)
- Copy of Notification of Missing Requirements (2 pages)
- Combined Declaration and Power of Attorney (signed copy) (5 pgs.)
- Combined Declaration and Power of Attorney (clean copy) (5 pgs.)
- Form PTO-2038 submitting Credit Card Payment in the amount of \$65.00
- Postcard

06/13/2005 MKAYPAGH 00000020 10518940

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65.00 0P

Respectfully submitted,



DANA L. TANGREN  
Attorney for Applicant  
Registration No. 37,246  
Customer No. 022913  
Telephone No. 801.533.9800



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/518,940	Yufen Zhao	15431.4
INTERNATIONAL APPLICATION NO.		
PCT/CN03/00417		
IA. FILING DATE	PRIORITY DATE	
05/30/2003	06/17/2002	
<b>CONFIRMATION NO. 3531</b> <b>371 FORMALITIES LETTER</b> <b>*OC000000016117592*</b> <b>*OC000000016117592*</b>		

Date Mailed: 05/26/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 12/16/2004
- English Translation of the IA filed on 12/16/2004
- Copy of the International Search Report filed on 12/16/2004
- Copy of IPE Report filed on 12/16/2004
- Preliminary Amendments filed on 12/16/2004
- Small Entity Statement filed on 12/16/2004
- U.S. Basic National Fees filed on 12/16/2004
- Substitute Specification filed on 12/16/2004
- Priority Documents filed on 12/16/2004

LOCKED  
 Action: MISSING  
 Response Due: 12/26/05  
 By: AP On: 12/26/05  
 File #: 15431.4

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- **\$65** Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65** Late oath or declaration Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

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Telephone: (703) 308-9140 EXT 206

**PART 1 - ATTORNEY/APPLICANT COPY**

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/518,940	PCT/CN03/00417	15431.4

FORM PCT/DO/EO/905 (371 Formalities Notice)